

SECURITY BREACHES: WHAT YOU CAN EXPECT

Examples of offenses and related consequences

This table provides some examples of possible breaches of the terms and conditions of use of the Secure Data Service, alongside the associated consequences as outlined in the Security Breaches Policy. The complete policy, including a full list of offences and penalties, is available at securedata.data-archive.ac.uk.

If you are concerned or have any questions about these offences, please do not hesitate to contact us at securedata@data-archive.ac.uk or 01206 874968.

Offence	Expected penalty
Not informing the service of publications in journals, presentations made at conferences etc.	First offence: 6 months access suspension Second offence: 12 months access suspension Third offence: permanent suspension
Using the service and/or data for private consultancy work, and/or for a commercial organisation (where there is clearly no public benefit, only private gain by the researcher and/or another organisation)	First offence: 12-month ban on application Second offence: 2-year ban on application Third offence: permanent suspension Additional depositor penalties
Allowing another researcher to use one's own login details to access the service and data (regardless of whether the other researcher is also a member of the Secure Data Service or the project team)	First offence: 2 years access suspension Second offence: permanent suspension
Providing false information on, or altering, the Approved Researcher form or Declaration	Permanent suspension
Attempting to access datasets to which not authorised Researchers may only access the data that they have successfully applied to access, for each project. This is especially important for users working in different teams of users on different projects, with authorisation to different data sources.	Permanent suspension
Attempting to use data for a purpose not specified in the application. We understand that the path of research may differ slightly from the original research proposal. A user must consult us if their project specification changes significantly. A new application may be required.	Permanent suspension

Offence	Expected penalty
<p>Sharing any data outputs which have not been approved Only outputs (such as journal articles, reports, presentation slides etc) received by the researcher from a member of the Service staff, may be distributed. Any other output that is distributed will be in breach of the User Agreement.</p>	<p>Permanent suspension</p>
<p>Attempting to identify respondents</p>	<p><i>For HE researchers:</i> a) Permanent suspension from all ESRC data services (individual) AND b) 12 month suspension from all ESRC data services (institution) AND c) permanent sanction from ESRC funding (individual) AND d) 5-year sanction from ESRC funding (institution)</p> <p><i>For non-HE researchers:</i> a) Permanent suspension from all ESRC data services (individual) AND b) Permanent sanction from ESRC funding (individual)</p> <p>Prosecution **</p>
<p>Making disclosive data available to others. For example, copying data from the screen and passing these data in any form to others (regardless of whether they have a valid Approved Researcher status)</p>	<p><i>Expected Penalty for HE researchers</i> a) Permanent suspension from all ESRC data services (individual) AND b) 5-year suspension from all ESRC data services (institution) AND c) permanent sanction from ESRC funding (individual) AND d) 5-year sanction from ESRC funding (institution)</p> <p><i>Expected Penalty for non-HE researchers</i> a) Permanent suspension from all ESRC data services (individual); AND b) Permanent sanction from ESRC funding (individual)</p> <p>Prosecution**</p>

** Could potentially result in a 2-year jail term, a £2000 fine, and a criminal record

SECURE DATA SERVICE

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Service provided by the UK Data Archive



In partnership with the Economic and Social Data Service



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